

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 07-2004)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

PU4835W

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/518349

INTERNATIONAL APPLICATION NO.  
PCT/US03/19211INTERNATIONAL FILING DATE  
6/17/03PRIORITY DATE CLAIMED  
6/17/02TITLE OF INVENTION  
CHEMICAL PROCESSAPPLICANT(S) FOR DO/EO/US  
Amogh BOLOOR, Mui CHEUNG, and Jeffrey Alan STAFFORD

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV330919515US
23. ☒ Other items or information:

PCT Publication Coverpage  
PCT Request  
Change to PCT Request

U.S. APPLICATION NO. (if known) 10/5183	INTERNATIONAL APPLICATION NO. PCT/US03/19211	ATTORNEY'S DOCKET NUMBER PU4806USW
---	--	------------------------------------

24. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)) :**

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	\$1110.00
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	\$950.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$790.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	\$750.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....	\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =** **\$950.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). **\$0.00**


CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	3 - 20 =	0	x \$18.00
Independent claims	3 - 3 =	0	x \$88.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>= \$950.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			<b>\$0.00</b>
<b>SUBTOTAL</b>			<b>= \$950.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			<b>\$0.00</b>
<b>TOTAL NATIONAL FEE</b>			<b>= \$950.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input type="checkbox"/>
<b>TOTAL FEES ENCLOSED</b>			<b>= \$950.00</b>
			Amount to be: refunded \$
			charged \$

- a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 07-1392 in the amount of \$950.00 to cover the above fees.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1392
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer Number  
**23347**

  
SIGNATURE

Jennifer L. Fox  
NAME

52,218  
REGISTRATION NUMBER

16 Dec 2004  
DATE

**CERTIFICATE OF MAIL BY "EXPRESS MAIL" (37 CFR 1.1)**

 Applicant(s): **Bolloor et al**

10/518349

DT01 Rec'd PCT/PT... PU4806USW 7 DEC 2004

Application No. To Be Assigned	Filing Date	Examiner	Customer No. 23347	Group Art Unit
-----------------------------------	-------------	----------	-----------------------	----------------

 Invention: **CHEMICAL PROCESS**

I hereby certify that the following correspondence:

Transmittal Letter to the DO/EO/US Concerning a filing under 37 U.S.C. 371

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

12-17-04

(Date)

Allyson K. Jacobs

(Typed or Printed Name of Person Mailing Correspondence)

Allyson K. Jacobs

(Signature of Person Mailing Correspondence)

EV330919515US

(Transmitting Label Number)

Note: Each paper must have its own certificate of mailing.

Via Facsimile  
011 41 22 740 1435

TO:

PCT Examination  
International Bureau of WIPO  
34 Chimin des Colombettes  
1211 Geneva 20  
Switzerland

CHANGE TO PCT  
REQUEST UNDER  
RULE 92 *bis* OF THE  
PCT TREATY

Applicant: SmithKline Beecham  
Corporation

Applicant's File Reference: PU4806WO

International Application No.  
PCT/US03/19211

International Filing Date  
17 June 2003

30 Month Deadline  
17 December 2004

CHEMICAL PROCESS

Please make the following correction to Stafford, Jeffrey, Alan from applicant only in all designated States except US to applicant and inventor for US only

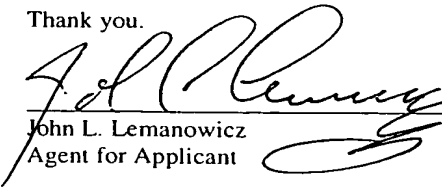
Box III-3-1	This person is:	applicant only
III-3-2	Applicant for	all designated States except US
III-3-4	Name (LAST, First)	STAFFORD, Jeffrey, Alan
III-3-5	Address:	3882 Quarter Mile Drive San Diego, CA 92310 United States of America
III-3-6	State of Nationality	US
III-3-7	State of Residence	US

**Change to**

Box III-3-1	This person is:	applicant and inventor
III-3-2	Applicant for	US only
III-3-4	Name (LAST, First)	STAFFORD, Jeffrey, Alan
III-3-5	Address:	3882 Quarter Mile Drive San Diego, CA 92310 United States of America
III-3-6	State of Nationality	US
III-3-7	State of Residence	US

The PCT Power of attorney for Jeffrey Alan Stafford is attached hereto and was filed with response to Invitation to Correct Defects on August 15, 2003.

Thank you.

  
John L. Lemanowicz  
Agent for Applicant

Date: 11/15/04